

THE BASIC EM DIFFERENTIAL EQUATIONS

$$\nabla \times \tilde{\mathbf{E}} = -j\omega\mu \tilde{\mathbf{H}} \quad \xrightarrow{\nabla \times} \quad \nabla^2 \tilde{\mathbf{E}} + k^2 \tilde{\mathbf{E}} = j\omega\mu \tilde{\mathbf{J}} + \frac{1}{\epsilon} \nabla \rho \quad \left. \begin{array}{l} \text{Inhomogeneous} \\ \text{Helmholtz} \end{array} \right\}$$

Similarly:

$$\nabla^2 \tilde{\mathbf{H}} + k^2 \tilde{\mathbf{H}} = -\nabla \times \tilde{\mathbf{J}}$$

where: $k^2 = \omega^2 \mu \epsilon$

Equations contain only 1 field in each equation.

When there are no sources:

$$\left. \begin{array}{l} \nabla^2 \tilde{\mathbf{E}} + k^2 \tilde{\mathbf{E}} = 0 \\ \nabla^2 \tilde{\mathbf{H}} + k^2 \tilde{\mathbf{H}} = 0 \end{array} \right\} \text{Homogeneous}$$

(eg. $\nabla^2 E_x + k^2 E_x = 0$)For quasistatic problems ("steady-state") $k^2 \rightarrow 0$
(or static)

$$\left. \begin{array}{l} \nabla^2 \tilde{\mathbf{E}} = 0 \\ \nabla^2 \tilde{\mathbf{H}} = 0 \end{array} \right\} \begin{array}{l} \text{Laplace equations} \\ \text{(separate into coordinate components)} \end{array}$$

For this type of problems it is convenient to use the scalar potential equations

$$\tilde{\mathbf{E}} = -\nabla \Phi, \quad \tilde{\mathbf{H}} = -\nabla \Phi_m$$

with Φ, Φ_m satisfying Laplace equations.

$$\nabla^2 \Phi = 0, \quad \nabla^2 \Phi_m = 0 \text{ scalar eq.}$$

For regions containing charges, the Poisson equation applies to Φ .

$$\nabla^2 \Phi = -\frac{\rho}{\epsilon}$$

Unique solutions of Laplace/Poisson equation result if the function or its derivative is specified on a boundary surrounding the region of interest. Unique solutions of Helmholtz equation are obtained by specifying the tangential component of $\tilde{\mathbf{E}}$ or $\tilde{\mathbf{H}}$ on the closed boundary or tangential $\tilde{\mathbf{E}}$ on a part of the boundary and tangential $\tilde{\mathbf{H}}$ on the remainder.

Superposition

D^2 is a linear operator

Any two solutions are superposable and their sum is a solution provided that the medium itself is linear.

Separation of Variables

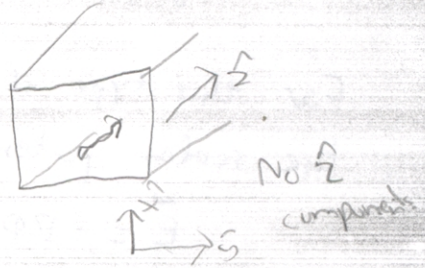
Solutions that are products of 2(3) functions in 2D(3D) each function depending upon one coordinate variable only.

↓
can be added to form a series.

Single-product solutions of the wave equation represent modes which can propagate individually (Important in waveguides and resonant structures).

Assume: 2D Laplace equation in Rectangular coords

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = 0$$



Solutions: $\phi(x,y) = X(x)Y(y)$
Separation of vars

$$X''Y + XY'' = 0 \Rightarrow \frac{X''}{X} + \frac{Y''}{Y} = 0 \quad \forall x,y$$

Since the second term doesn't contain $x \Rightarrow$ cannot vary with x
 \Rightarrow the first term cannot vary with x either \Rightarrow constant

Set: $\frac{X''}{X} = -k_x^2, \frac{Y''}{Y} = -k_y^2$

$$\begin{cases} k_x^2 + k_y^2 = 0 \rightarrow k_x^2 = -k_y^2 \\ X'' - k_x^2 X = 0 \\ Y'' - k_y^2 Y = 0 \end{cases} \quad \begin{matrix} k_x, k_y \in \mathbb{I} \\ \downarrow \\ k_x = k \\ k_y = \pm jk \\ \text{or} \\ k_x = \pm jk \\ k_y = k \end{matrix}$$

$X(x) = Ae^{k_x x} + Be^{-k_x x}$
 $Y(y) = Ce^{k_y y} + De^{-k_y y}$

$$\phi(x,y) = (A \cosh k_x x + B \sinh k_x x) (C \cosh k_y y + D \sinh k_y y)$$

$$= XY = (Ae^{k_x x} + Be^{-k_x x}) (Ce^{k_y y} + De^{-k_y y})$$

$$\phi(x,y) = (A \cos k_x x + B \sin k_x x) (C \cosh k_y y + D \sinh k_y y)$$

The choice depends on Boundary conditions

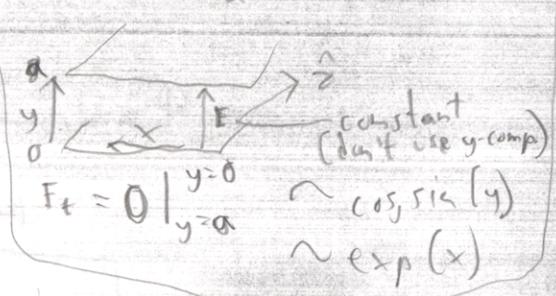
If \exists repeated zero $\rightarrow y$ use $(C \cos ky + D \sin ky)$

If $\exists \rightarrow x$ use $(A \cos kx + B \sin kx)$

If boundary \rightarrow infinity, use real exponentials instead of \sin, \cos, \sinh, \cosh
 No change in x, y, z

If $k_x = j k_y = 0 \Rightarrow \Phi(x, y) = (A_1 x + B_1)(C_1 y + D_1)$

$\rightarrow \rightarrow e^{-k_x x}$
 $\rightarrow \rightarrow e^{k_x x}$
3D-Laplace

Linear dependence in x, y, z
 (TE, TM)
 $\cos x = \frac{e^{ix} + e^{-ix}}{2}$
 $\cosh x = \frac{e^x + e^{-x}}{2}$


$$\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2} + \frac{\partial^2 \Phi}{\partial z^2} = 0$$

$$\Phi(x, y, z) = X(x) Y(y) Z(z)$$

$$\frac{X''}{X} + \frac{Y''}{Y} + \frac{Z''}{Z} = 0$$

$$k_x^2 + k_y^2 + k_z^2 = 0$$

$$\Phi(x, y, z) = [A \cosh k_x x + B \sinh k_x x] \cdot [C \cosh k_y y + D \sinh k_y y] \cdot [E \cosh k_z z + F \sinh k_z z]$$

Single Rectangular Harmonic

$$A = C = 0$$

$$\Phi = \sin k_x x \sinh k_y y$$

$$\Phi(y=0) = 0 \quad \forall x$$

\parallel
 one boundary can be zero-potential plane at $y=0$

$$\Phi(x=0) = 0 \quad \forall y$$

and at planes: $k_x = n\pi$

Assume: $0 < k_x < \pi, 0 < y < a$. Let $ka = \pi$

$$\hookrightarrow \Phi(kx = \pi) \Rightarrow k = \frac{\pi}{a}$$

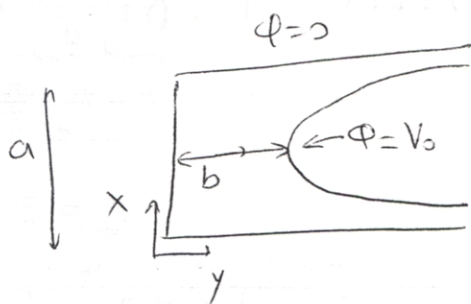
Assume: $\Phi(x=a/2, y=b) = V_0$

(4)

$$V_0 = C_1 \sin \frac{\pi}{2} \sinh \frac{\pi b}{a} = C_1 \sinh \frac{\pi b}{a}$$

$$C_1 = \frac{V_0}{\sinh \frac{\pi b}{a}}$$

$$\Phi = \frac{V_0 \sinh(\pi y/a)}{\sinh(\pi b/a)} \sin \frac{\pi x}{a}$$



FOURIER SERIES

A single-product solution could satisfy only very specific B.C's. For general boundaries, a sum of such solutions is used.

Fourier series represent periodic functions

$$f(x) = f(x+L), \quad L = \text{period}$$

$$f(x) = a_0 + a_1 \cos kx + a_2 \cos 2kx + a_3 \cos 3kx + \dots + b_1 \sin kx + b_2 \sin 2kx + b_3 \sin 3kx + \dots$$

$$(kL = 2\pi)$$

Orthogonality: $\int_{-L/2}^{L/2} \cos m kx \cos n kx dx = 0, m \neq n, \int_{-L/2}^{L/2} \sin m kx \sin n kx dx = 0, m \neq n$

$$\int_{-L/2}^{L/2} \sin m kx \cos n kx dx = 0 \quad \begin{cases} m \neq n \\ m = n \end{cases}$$

$$\int_{-L/2}^{L/2} \cos^2 m kx dx = \int_{-L/2}^{L/2} \sin^2 m kx dx = L/2$$

$$a_n = \frac{2}{L} \int_{-L/2}^{L/2} f(x) \cos n\pi x \, dx$$

$$b_n = \frac{2}{L} \int_{-L/2}^{L/2} f(x) \sin n\pi x \, dx$$

$$a_0 = \frac{1}{L} \int_{-L/2}^{L/2} f(x) \, dx$$

Fourier Integral

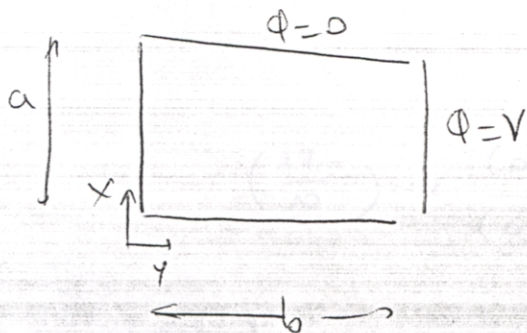
Entire domain (Aperiodic) Functions

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} g(k) e^{j k x} \, dx$$

$$g(k) = \int_{-\infty}^{\infty} f(x) e^{-j k x} \, dx$$

with: $\int_{-\infty}^{\infty} |f(x)| \, dx < \infty$

2D Problem with Specified Boundary Potentials



$$\Phi(x=0) = \Phi(x=a) = 0 \Rightarrow \text{sinusoid to } x$$

$$\Phi(x,y) = (A \cos kx + B \sin kx) (C \cosh ky + D \sinh ky)$$

$$\left\{ \begin{array}{l} \Phi(y=0) = 0 \Rightarrow C = 0 \\ \Phi(x=0) = 0 \Rightarrow A = 0 \end{array} \right.$$

$$\Phi(x=a) = 0 \Rightarrow ka = m\pi \Rightarrow k = \frac{m\pi}{a}$$

$$\Rightarrow \Phi = C_m \sin \frac{m\pi x}{a} \sinh \frac{m\pi y}{a}$$

To satisfy the BC $\phi|_{y=b} \rightarrow$

$$\phi = \sum_{m=1}^{\infty} C_m \sin \frac{m\pi x}{a} \sinh \frac{m\pi y}{a}$$

$$\left\{ \phi(y=b) = V_0, 0 < x < a \right.$$

$$V_0 = \sum_{m=1}^{\infty} C_m \sin \frac{m\pi x}{a} \sinh \frac{m\pi b}{a}, 0 < x < a$$

↓

Fourier expansion of V_0 over $0 < x < a$

$$f(x) = V_0 = \sum_{m=1}^{\infty} a_m \sin \frac{m\pi x}{a}, 0 < x < a$$
$$a_m = \begin{cases} \frac{4V_0}{m\pi}, & m = \text{odd} \\ 0, & m = \text{even} \end{cases}$$

↓

$$C_m \sinh \frac{m\pi b}{a} = a_m$$

↓

$$\phi = \sum_{m=\text{odd}} \frac{4V_0}{m\pi} \frac{\sinh(m\pi y/a)}{\sinh(m\pi b/a)} \sin\left(\frac{m\pi x}{a}\right)$$

⚠ nonzero conditions, potential or normal derivative of potential, must exist on some part of the boundary to yield a nonzero solution.



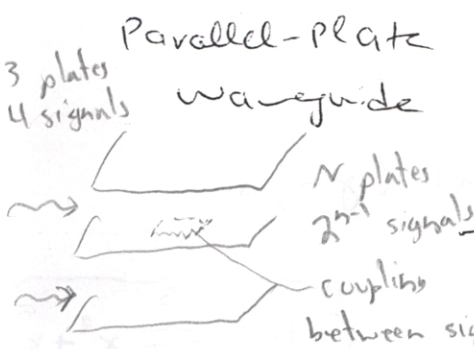
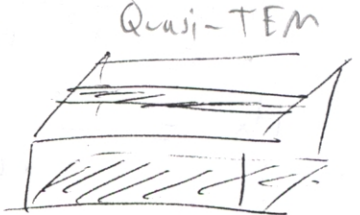
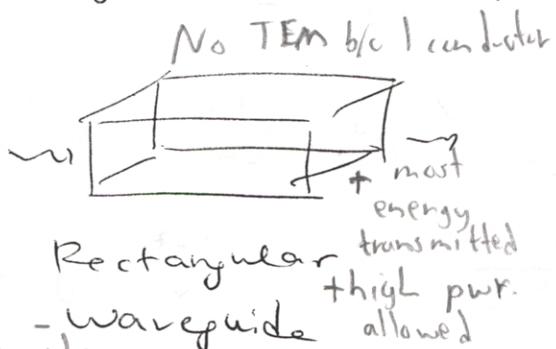
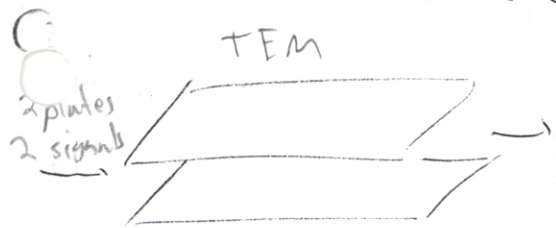
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LECTURE 17

PARALLEL-PLATE WAVEGUIDES

To:
From:
Subject:

Waveguide: a structural geometry that causes a wave to propagate in a chosen direction with some measure of confinement in the planes transverse to the propagation direction.



waveguide \rightarrow big \rightarrow metal loss

Basic Equations

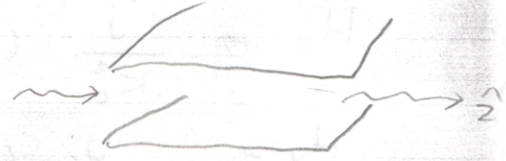
(.) Assume time-harmonic waves: $\sim e^{j(\omega t) - \gamma z}$

$\gamma = \alpha + j\beta$ Assume material $(\mu, \epsilon) \rightarrow k^2 = \omega^2 \mu \epsilon$

$$\nabla^2 \bar{E} = -k^2 \bar{E}, \quad \nabla^2 \bar{H} = -k^2 \bar{H}$$

$$\nabla^2 \bar{E} = \nabla_t^2 \bar{E} + \frac{\partial^2}{\partial z^2} \bar{E}$$

$\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ + transverse plane \rightarrow propagation $\rightarrow \gamma^2 \bar{E}$



$$\nabla_t^2 \bar{E} = -(\gamma^2 + k^2) \bar{E}$$

$$\nabla_t^2 \bar{H} = -(\gamma^2 + k^2) \bar{H}$$

\bar{E}, \bar{H} fields
in waveguides

(17.2)

$$\frac{\partial^2}{\partial z^2} E = \frac{\partial^2}{\partial z^2} (E(x,y) e^{-\gamma z})$$

Boundary conditions

$$= (-\gamma)^2 E(x,y) e^{-\gamma z}$$

★ Cross section of waveguide

More convenient to
solve explicitly in terms
of \bar{E}_z, \bar{H}_z

$$\nabla \times \bar{E} = -j\omega\mu \bar{H}, \quad \nabla \times \bar{H} = j\omega\varepsilon \bar{E} \quad \rightarrow 6 \text{ equations}$$

$$F(E_z, H_z) \left\{ \begin{aligned} E_x &= -\frac{1}{\gamma^2 + k^2} \left(\gamma \frac{\partial E_z}{\partial x} + j\omega\mu \frac{\partial H_z}{\partial y} \right) \\ E_y &= \frac{1}{\gamma^2 + k^2} \left(-\gamma \frac{\partial E_z}{\partial y} + j\omega\mu \frac{\partial H_z}{\partial x} \right) \\ H_x &= \frac{1}{\gamma^2 + k^2} \left(j\omega\varepsilon \frac{\partial E_z}{\partial y} - \gamma \frac{\partial H_z}{\partial x} \right) \\ H_y &= -\frac{1}{\gamma^2 + k^2} \left(j\omega\varepsilon \frac{\partial E_z}{\partial x} + \gamma \frac{\partial H_z}{\partial y} \right) \end{aligned} \right.$$

Propagating (Lossless) waves ($\gamma = j\beta$)

$$E_x = -\frac{j}{k_c^2} \left(\beta \frac{\partial E_z}{\partial x} + \omega\mu \frac{\partial H_z}{\partial y} \right)$$

$$E_y = \frac{j}{k_c^2} \left(-\beta \frac{\partial E_z}{\partial y} + \omega\mu \frac{\partial H_z}{\partial x} \right)$$

$$H_x = \frac{j}{k_c^2} \left(\omega\varepsilon \frac{\partial E_z}{\partial y} - \beta \frac{\partial H_z}{\partial x} \right)$$

$$H_y = -\frac{j}{k_c^2} \left(\omega\varepsilon \frac{\partial E_z}{\partial x} + \beta \frac{\partial H_z}{\partial y} \right)$$

$$\nabla_t^2 E_z = -k_c^2 E_z, \quad \nabla_t^2 H_z = -k_c^2 H_z$$

$$k_c^2 \stackrel{\Delta}{=} \gamma^2 + k^2 = k^2 - \beta^2$$

1. $E_z = H_z = 0 \Rightarrow$ TEM (transverse electromagnetic waves) (17.3)

2. $H_z = 0 \Rightarrow$ TM (transverse magnetic or E waves)

3. $E_z = 0 \Rightarrow$ TE (transverse electric or H waves)

4. $E_z, H_z \neq 0 \Rightarrow$ Hybrid waves (coupling of TE, TM by the boundary)

STEPS IN WAVEGUIDE ANALYSIS

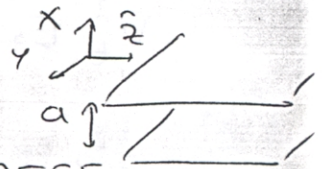
(I) Apply a general field distribution excitation

(II) Decompose in TEM, TE, TM with appropriate amplitudes and phases

(III) Study each mode in term of propagation constant

(IV) Recombine them at a later position and time to get the total field.

Usually: we design for single-mode propagation (otherwise: dispersion, energy split)



PERFECTLY CONDUCTING PARALLEL PLATES

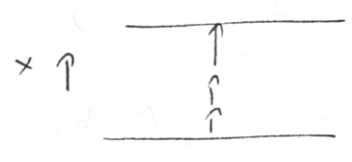
(a) TEM waves (plane wave)

$$\gamma^2 + k^2 = 0 \Rightarrow \text{propagation constant} = \gamma_{\text{TEM}} = \pm jk$$

(b) dependence of configuration of parallel-plates) $v_p = \frac{c_0}{\sqrt{\epsilon_{\text{eff}}}}$
↳ any TEM waves satisfy it

$k \sim v_p =$ velocity of light \Rightarrow propagation with light velocity

$$\begin{aligned} \nabla_t^2 \bar{E} &= 0 \\ \nabla_t^2 \bar{H} &= 0 \end{aligned} \Rightarrow \text{Laplace equation (static fields)}$$



Static electric field is constant

$$E_x = E_0 \text{ (only normal to plates)}$$

$$H_y = \frac{\gamma}{j\omega\mu} E_x = \pm \sqrt{\frac{\epsilon}{\mu}} E_x = \frac{1}{\eta} \hat{k} \times \bar{E}$$

(+ for +z propagation, - for -z propagation)

(!! same with plane wave expression)

1) TM waves

$$\begin{aligned} E_z &\neq 0 \\ H_z &= 0 \end{aligned}$$

$$\nabla_t^2 E_z = -k_c^2 E_z = -(\gamma^2 + k^2) E_z$$

$$\frac{d}{dy} = 0 \rightarrow \frac{d^2 E_z}{dx^2} = -k_c^2 E_z$$

$$\left(\frac{d^2}{dx^2} + \frac{d^2}{dy^2} \right) E_z = -k_c^2 E_z$$

$$(k_c^2 = \gamma^2 + k^2) = k^2 - \beta^2$$

$$E_z = A \sin k_c x + B \cos k_c x$$

$$\left. \begin{aligned} E_z(x=0) &= E_z(x=a) = 0 \\ E_y(x=0) &= E_y(x=a) = 0 \end{aligned} \right\} \text{Boundary conditions (PEC)}$$

$$E_z(x=0) = B \cos 0 = 0 \Rightarrow B = 0$$

$$E_z = A \sin(k_c x) \text{ and } E_z(x=a) = 0 = A \sin(k_c a) \Rightarrow \sin(k_c a) = 0$$

(no field)

$$A \neq 0 \Rightarrow \sin k_c a = 0 \Rightarrow k_c a = m\pi, m = 1, 2, 3, \dots$$

$$E_z = A \sin \frac{m\pi x}{a} \quad TM_m \leftarrow \text{mode } m \geq 1$$

$$H_z = 0$$

$$H_x = \frac{1}{\gamma^2 + k_c^2} \left(j\omega\epsilon \frac{\partial E_z}{\partial y} - \gamma \frac{\partial H_z}{\partial x} \right)$$

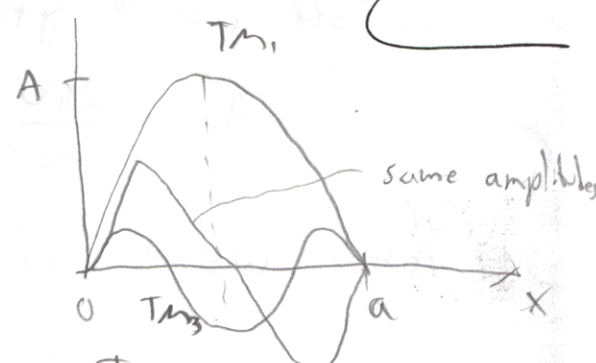
$$= 0$$

$$H_y = - \frac{j\omega\epsilon}{k_c^2} \frac{\partial E_z}{\partial x} = - \frac{j\omega\epsilon a}{m\pi} A \cos \frac{m\pi x}{a}$$

$$E_x = \frac{j}{k_c^2} \left(\gamma \frac{\partial H_x}{\partial y} - \omega\mu \frac{\partial H_z}{\partial x} \right) =$$

$$= - \frac{\gamma a}{m\pi} A \cos \frac{m\pi x}{a}$$

$$E_y = - \frac{\gamma}{k_c^2} \frac{\partial E_z}{\partial y} = 0$$



$TM_0 \rightarrow E_z = 0 \rightarrow TEM$

infinite number of solutions for different m

(different modes)

Propagation constant of TM_m

$$\gamma_m = \sqrt{k_c^2 - k^2}$$

$$\gamma = \alpha + j\beta$$

$$\omega > \omega_c = \frac{m\pi}{a\sqrt{\mu\epsilon}} = \frac{m\pi}{a} \frac{1}{\sqrt{\mu\epsilon}} = \frac{m\pi}{a} \frac{1}{\sqrt{\mu_0\epsilon_0\epsilon_r}} = \frac{m\pi}{a} \frac{c}{\sqrt{\epsilon_r}} \quad (\text{cutoff frequency})$$

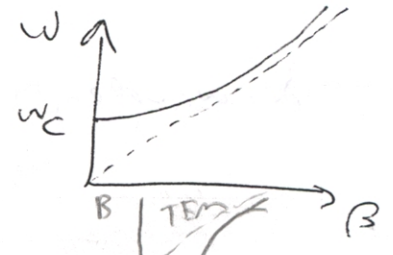
$$\omega < \omega_c \rightarrow \gamma_m = \alpha = \sqrt{\left(\frac{m\pi}{a}\right)^2 - \omega^2\mu\epsilon} \rightarrow \text{attenuation only}$$

$$\gamma = j\beta = j\omega\sqrt{\mu\epsilon} \sqrt{1 - \left(\frac{m\pi/a}{\omega}\right)^2}$$

approaches TEM wave for

$\omega^2\mu\epsilon > \left(\frac{m\pi}{a}\right)^2 \Rightarrow \gamma_m = j\beta_m = j\sqrt{\omega^2\mu\epsilon - \left(\frac{m\pi}{a}\right)^2}$
 $\omega \rightarrow \infty \Rightarrow \omega^2 > \left(\frac{m\pi}{a}\right)^2 / \mu\epsilon$
 ω that propagation only
 $f_{c1} \quad f_{c2} \quad 28.8 \text{ GHz}$
 $f_{c1} \geq 28.8 \text{ GHz}$

$$\gamma = j\omega\sqrt{\mu\epsilon} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}, \quad \omega \geq \omega_c$$



Cutoff wavelength: $v = \frac{\omega}{\beta}$

$$\lambda_c = \frac{2\pi a}{\omega_c} = \frac{2a}{m} \quad (a = \frac{m\lambda_c}{2})$$

At $\omega \leq \omega_c \Rightarrow \gamma = \alpha = \frac{m\pi}{a} \sqrt{1 - (\frac{\omega}{\omega_c})^2}$

(purely attenuating wave)

(TM modes = High-pass filters)

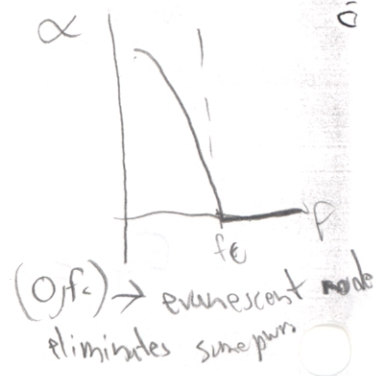
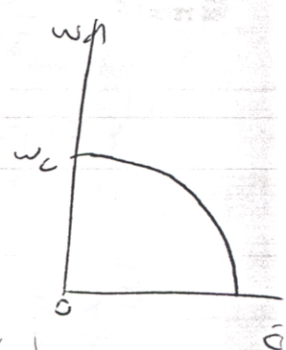
Phase velocity:

$$v_p = \frac{\omega}{\beta} = \frac{v}{\sqrt{1 - (\omega_c/\omega)^2}} > v_{light}$$

Group velocity:

$$v_g = \frac{d\omega}{d\beta} = v \sqrt{1 - (\omega_c/\omega)^2} < v_{light}$$

$\omega = \omega_c \rightarrow v_g = 0$



Guided wavelength:

$$\lambda_g = \frac{2\pi}{\beta} = \frac{\lambda \text{ (plane wave)}}{\sqrt{1 - (\omega_c/\omega)^2}} \quad \omega = \omega_c \rightarrow \lambda_g = \infty$$

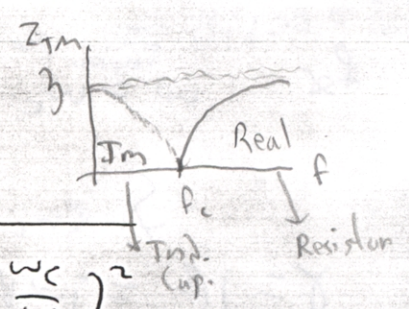
λ : wavelength of a plane in the dielectric medium

$$\lambda = \frac{2\pi v}{\omega}$$

Characteristic wave impedance:

$$Z_{TM} = \frac{E_x}{H_y} = \frac{\beta}{\omega \epsilon} = \eta \sqrt{1 - (\frac{\omega_c}{\omega})^2}$$

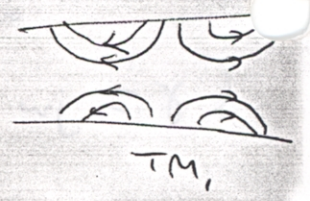
$\eta = \sqrt{\mu/\epsilon}$ (TEM) $\omega = \omega_c \rightarrow Z_{TM} = 0$ short ckt.



function of frequency, x, y, z

Real for $\omega \geq \omega_c \Rightarrow$ Real power transfer

Imaginary for $\omega < \omega_c \Rightarrow$ no real power transfer



1) TE waves

$$H_z \neq 0, E_z = 0$$

(17.7)

$$\nabla_t^2 H_z = -k_c^2 H_z = \frac{d^2 H_z}{dx^2}$$

Ψ

$$k_c^2 = k^2 + \gamma^2 = k^2 - \beta^2$$

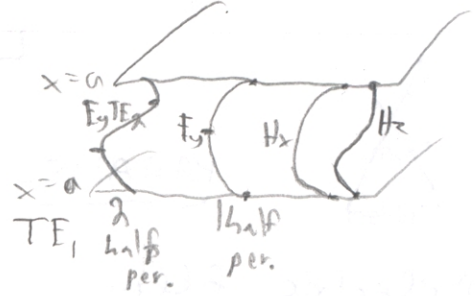
$$\left\{ E_y |_{x=0} = 0 \right.$$

$$H_z = B \cos k_c x \quad k_c = \frac{m\pi}{a}$$

$$H_x = \frac{j\beta}{k_c} B \sin k_c x$$

$$E_y = -\frac{j\omega\mu}{k_c} B \sin k_c x$$

$$E_x = 0, H_y = 0$$



$$f_c^{TE_m} = f_c^{TM_m}$$

similar to TM waves same $\omega_c, \beta, B, etc.$

$$E_y |_{x=a} = 0 \Rightarrow k_c a = m\pi \Rightarrow k_c = 2\pi f_c \sqrt{\mu\epsilon} = \frac{m\pi}{a},$$

$m = 1, 2, 3, \dots$

$$\gamma = \begin{cases} \alpha = \left(\frac{m\pi}{a}\right) \sqrt{1 - \left(\frac{\omega}{\omega_c}\right)^2}, & \omega < \omega_c \\ j\beta = jk \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}, & \omega > \omega_c \end{cases}$$

// TM waves

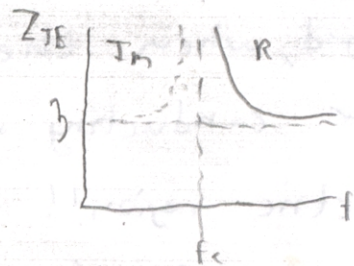
$$Z_{TE} = -\frac{E_y}{H_x} = \frac{j\omega\mu}{\gamma} = \frac{\eta}{\sqrt{1 - (\omega_c/\omega)^2}}$$

only difference

$$\omega = \omega_c \rightarrow Z_{TE} = \infty \text{ open ckt.}$$

Real for $\omega > \omega_c$

Imaginary for $\omega < \omega_c$



TM, TE, etc.

excited in dials.