Development of a Wideband Short Backfire Antenna Excited by an Unbalance-Fed H-Shaped Slot

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Abstract—The short backfire antenna (SBA) has been widely used for mobile satellite communications, tracking, telemetry, and WLAN applications due to its compact structure and excellent radiation characteristics. The most common excitation topology for the SBA is a balance-fed wire dipole which has the disadvantage of a narrow frequency bandwidth for the input impedance. In this paper, an H-shaped slot is employed to excite the SBA for the first time. The H-shaped slot is unbalance-fed from a coaxial line. It is demonstrated that the H-shaped slot-excited SBA can achieve a bandwidth for input impedance of more than 20% (VSWR<2) while maintaining the good radiation performance. The antenna structure is described and the simulation and experimental results are presented. The operating principle is investigated to explain why the slot-excited SBA can result in good impedance and radiation characteristics. A parametric study is conducted for the use of practical engineering design.

Index terms—Short backfire antenna, wideband antenna, slot-fed antenna.
I. Introduction

The short backfire antenna (SBA) was first developed by Ehrenspeck in the 1960s [1], [2]. This type of antenna usually consists of a half-wavelength \( \lambda_0/2 \), \( \lambda_0 \) is the free-space wavelength at operating frequency) dipole exciting antenna, a pair of planar reflectors, and a rim. The exciting dipole is centered between the two reflectors which have a diameter of about \( 2.0\lambda_0 \) and \( 0.4\lambda_0 \), respectively, and are separated by a spacing of \( 0.5\lambda_0 \). The rim with a height of about \( 0.25\lambda_0 \) surrounds the larger reflector. The SBA can achieve a gain on the order of 13-15 dBi, with sidelobes of at least -20 dB and a backlobe level lower than -30 dB [3]. In addition to its excellent radiation characteristics, the SBA is very attractive to mobile/maritime satellite communications, tracking, telemetry, and wireless local area network (WLAN) applications [4], [5] due to its compact structure (\( 0.5\lambda_0 \) in height) and simple feed configuration (a single dipole rather than an array).

Since it is essentially a leaky cavity structure for which the excitation is provided by the primary dipole source, the dipole-excited SBA has a narrow frequency bandwidth for its input impedance. The natural impedance match bandwidth is only 3-5 percent for the voltage standing wave ratio (VSWR) under 1.5 [6], [7]. This situation becomes even worse as the height of the rim increases for suppressing the sidelobe level [8]. Our investigation shows that the bandwidth of a dipole-excited SBA is less than 1% for VSWR<2 when the rim height rises to \( 0.5\lambda_0 \) if without a matching circuit. In addition, a balun is necessary for the balance-fed dipole “exciter”.

An alternative excitation configuration is the waveguide feed system, such as coaxial or rectangular waveguide feed [9], [10]. Unfortunately, the waveguide-excited SBA requires a bulky feeding structure. Another candidate for the SBA excitation may
be the patch antenna. But there are two problems that need to be resolved before this approach can be used to excite the SBA. First, if the patch antenna is located too low, the bandwidth for impedance may be very narrow and also it is more difficult to excite the leaky waves required for the backfire radiation. On the other hand, if increasing the height of the exciting patch, the feed probe needs to be longer, which results in an increased inductive component in the input impedance due to the parasitic inductance from the feed probe, thus, causing a matching difficulty. Furthermore, a longer feed probe will produce a higher cross-polarized component, degrading the excellent radiation performance for the SBA.

Recently, it has been demonstrated that a center-fed slotted patch antenna can considerably enhance the impedance and radiation performances even though the patch is suspended about 0.1λ₀ high over a ground plane [11]. Essentially the enhanced performances are mainly attributed to the unbalance-fed slot that is formed at the center of the patch antenna. In this paper, we propose to make use of the configuration of unbalance-fed slot on a suspended patch for the SBA excitation for the first time. Instead of using a rectangular slot as in [11], we employ an H-shaped slot since it has more degrees of design freedom for antenna performance optimization [12]. Also the slotted patch can be positioned much higher than 0.1λ₀ (about 0.32λ₀) required for the impedance match of the slot-excited SBA. As will be discussed later, the unbalanced feed acts as a two-wire transmission line, hence avoiding the difficulties for a longer feed probe, such as the increased parasitic inductance and the higher cross-polarized component. The antenna structure for the proposed SBA is described first, followed by simulation and experimental results. The mechanism of operation for good impedance and radiation performances is investigated comprehensively. It will be clarified why the unbalance-fed slot can excite the backfire radiating mode for the SBA and how the
exciting slot can be matched to a 50-ohms feed. Finally a parametric study is presented for the potential need in practical engineering design.

II. Description of Antenna

The 3-dimensional (3D) configuration of the slot-excited SBA is depicted in Fig. 1(a). Similar to the conventional SBA, the proposed SBA contains a pair of reflectors (i.e., a circular primary reflector and a square sub-reflector) and a circular rim. For accurate numerical modeling, we chose a square instead of a circular plate for the sub-reflector. This choice does not lead to a significant effect on the antenna performance. Instead of being excited by a dipole, the proposed SBA is excited by an H-shaped slot which has been cut on a rectangular patch. The H-shaped slot is center-fed at one side of the slot by a cylindrical wire probe that has been extended from the inner conductor of a coaxial line. The other side of the slot is shorted to the primary reflector through a straight pin which has the same size as the feed probe. All dimensions of the SBA are illustrated in Fig. 1(b) (top view) and Fig. 1(c) (front view). Like the dipole-excited SBA, the dimensions of two reflectors and the rim can be adjusted for a desirable radiation performance, such as a higher gain or/and a lower sidelobe level [13], [14]. Here choosing the rim and the sub-reflector to have the same height ($H_r$) is based on two considerations: (a) to minimize the sidelobe level and (b) to make the whole structure of the SBA look like a “can”, which may be helpful in high-volume production since the sub-reflector can serve as part of the cover (or radome) of the “can” (or the SBA). The dimensions of the H-shaped slot, the feed probe, and the shorting pin are optimized for a good impedance performance, i.e. a wide bandwidth for input impedance. Following a large number of numerical simulations, we got the optimized geometric parameters which are shown in Table I. The overall dimensions of optimized SBA are $0.58\lambda_0$ in height and $2.2\lambda_0$ in diameter, which are slightly larger
than those (typically $0.5\lambda_0$ in height and $2\lambda_0$ in diameter) for a dipole-excited SBA. The reason for the larger dimensions will be explained in the next sections.

To demonstrate the performance of the new SBA experimentally, we fabricated a prototype of the antenna designed to operate in the S band (the design frequency=3 GHz). Fig. 2 shows a photograph of the prototype. The reflectors, rim, and the slotted patch were built using alloy 260 brass plates. The metal thickness of the slotted patch and the sub-reflector is 0.25 mm. The shorting pin and feed probe are made of a cylindrical alloy wire whose diameter is 2.4 mm. A semi-miniaturized type-A (SMA) connector is connected to the feed probe from the backside of the primary reflector. The slotted patch is supported by making use of the shorting pin and the feed probe while the sub-reflector is supported by four acrylic plastic poles.

### III. Simulation and Experimental Results

The numerical simulation was accomplished by using the TLM (Transmission Line Matrix) technique–based software–Microstripes 6.0. Fig. 3 shows the simulated and measured results for VSWR of the slot-excited SBA. We can see good agreement between the simulated and measured results. The measured bandwidth for VSWR<2 is found to be about 20%. The 3D radiation patterns calculated at 2.6, 2.8, 3.0, and 3.2 GHz are shown in Fig. 4, demonstrating very low backlobe level and no obvious sidelobes for the frequencies below 3.0 GHz. The beamwidth decreases as the frequency increases because the electrical size of the antenna aperture increases with frequency. The comparison between the measured E-plane, H-plane, and $\phi=45^\circ$ plane patterns and the simulations is shown in Fig. 5 at frequencies 2.7, 2.9, and 3.1 GHz. The agreement between the measured and simulated results is good over the main beam
region. The sidelobe level is lower than -20 dB while the peak backlobe is smaller than -25 dB, comparable to those results for a dipole-excited SBA [8]. Similar to the dipole-excited SBA, the slot-excited SBA has a peak cross-polarized component in the $\phi=45^\circ$ plane with a value of around -17 dB, which is slightly higher than the -20 dB for the dipole-excited SBA [14]. Note that the peak of the cross polarization appears beyond the half-power main beam region. The cross-polarization level is lower than -20 dB over the region $-15^\circ<\theta<+15^\circ$. The simulated directivity and measured power gain are plotted in Fig. 6 as a function of frequency. We can see that the power gain, varying between 13.5 dBi and 15.5 dBi over 2.7-3.2 GHz, is only slightly lower than the directivity. This implies high radiation efficiency for the proposed SBA. In fact, our simulation reveals that the radiation efficiency of the SBA (a conductivity of $1.6\times10^7$ S/m was used in the simulation for the whole antenna structure) is higher than 95% over the frequency range of 2.6-3.2 GHz. The simulated and measured results for the half-power beam width (HPBW) also agree well (see Fig. 6). In the frequency band 2.6-3.2 GHz, the HPBW in the E-plane is about 0-10$^\circ$ wider than that in the H-plane. It is also noted that as frequency increases the HPBW in the H-plane decreases (from 40$^\circ$ to 20$^\circ$) faster than the HPBW varies (from 40$^\circ$ to 30$^\circ$) in the E-plane due to the increase in the electrical length of the exciting slot whose longitudinal direction is parallel to the H-plane. It is the wider beamwidth in the E-plane that causes the directivity for the slot-excited SBA to be lower than that for a dipole-excited SBA. In turn, the aperture efficiency ($\eta_a=60\%-70\%$, also see Fig.6) of the new SBA is lower than that (about 80%) of the dipole-excited SBA [8]. This explains why we need a slightly larger antenna aperture (i.e. $D_r=2.2\lambda_0$) for a gain (about 15 dBi) that the dipole-excited SBA can achieve with an aperture diameter of $2.0\lambda_0$. 

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IV. Operating Principle

A. Mode Analysis of the Unbalanced Feed

Similar to the analysis of folded dipole antennas [15], the unbalanced feed [Fig. 7(a)] of the SBA can be decomposed into two distinct driving modes: an even mode [Fig. 7(b)] and an odd mode [Fig. 7(c)]. Note that the voltage \( V \) in the odd mode comes from a combination of two series-connected voltage sources with the same polarity and amplitude \( (V/2) \). The input current \( I_{in} \) excited on the feed probe by the unbalanced feed can be viewed as a superposition of effects excited by the even mode and the odd mode, that is,

\[
I_{in} = I_e/2 + I_o = \frac{V}{4Z_e} + \frac{V}{Z_o} = \frac{V(Z_o + 4Z_e)}{4Z_eZ_o},
\]

where the even-mode current \( I_e \) is equal to the even-mode voltage \( (V/2) \) divided by the even-mode impedance \( Z_e \) [also see Fig. 7(b)] while the odd-mode current \( I_o \) is equal to the odd-mode voltage \( (V) \) divided by the odd-mode impedance \( Z_o \) [also see Fig. 7(c)]. From (1), we can see that the unbalanced input impedance is equal to the parallel combination of the odd-mode impedance and the four times even-mode impedance:

\[
Z_{in} = \frac{V}{I_{in}} = \frac{4Z_eZ_o}{Z_o + 4Z_e}
\]

The equivalent circuit for the input impedance is drawn in Fig. 7(d).

To demonstrate the analysis, we simulated the even- and odd-mode feeds respectively. The results for the even-mode and odd-mode impedances are shown in Figs. 8(a) and 8(b). The input impedance calculated by using (2) is compared in Fig. 8(c) to that obtained by direct simulation with an unbalance feed. Good agreement is observed. This validates the mode decomposition, thus, implying that the radiation
pattern of the unbalance-fed SBA can be viewed as a combination of the even-mode and odd-mode excited radiation fields. Note that the even-mode excited SBA acts just like a vertical top-loaded monopole, which has no contribution to the radiation fields in the backfire direction (i.e. the z direction). Instead, the even-mode excitation is the major contributor to the cross-polarized component. Therefore the even-mode current should be limited as low as possible over the frequency band (called SBA band) for the backfire radiation. This can be achieved if the impedance related to the even mode is much higher than the odd-mode impedance. Interestingly, the even-mode impedance shown in Fig. 8(a) indeed has a quite strong (also relatively constant) reactive component (about 100 ohms) in the SBA band (2.6-3.2 GHz). After being multiplied by factor 4, the impedance related to the even mode is almost 8 times greater than the odd-mode impedance (around 50 ohms). As a result, the input impedance is mainly determined by the odd-mode impedance while the odd-mode current is the dominate excitation, which is definitely desirable for the backfire operation. To verify the odd-mode domination, the near-field distributions near the slot and the feed probe have been plotted in Fig. 9. Fig. 9(a) shows the electric-field distribution on the slot while Fig. 9(b) exhibits the magnetic-field around the feeding structure. Clearly, it does show an odd-mode field distribution: the electric field lines terminate on the two sides of the slot, whereas the magnetic field lines close on themselves around the feed probe and the shorting pin. Of course, the far-field pattern (i.e. the backfire pattern) described before also confirms the odd-mode operation over the SBA band.

B. Mechanism for Impedance Matching

It is well known that a slot antenna usually has input impedance much larger than 50 ohms. If we feed the slot-excited SBA with a balanced mode (e.g., by a two-wire
transmission line), a transformer (or a balun) is needed between the transmission line and a 50-ohms source. Interestingly, as demonstrated before, the unbalanced feed system formed by a feed probe and a shorting pin can automatically match to a 50-ohms coaxial line. The reason for the automatic matching is that the feed probe and shorting pin form a two-wire “transmission line”, which can convert a large impedance into a 50-ohms resistance if properly adjusting the length of the “transmission line”.

To demonstrate this argument, we first calculate the input impedance (called $Z_s$) as a voltage source is directly connected across the center of the slot. The result is plotted in a Smith chart, as shown in Fig. 10. The impedance loci form a loop on the right side of the Smith chart, which implies a wideband feature (mainly due to the coupling between the slotted-patch and the sub-reflector) but with an impedance much larger than 50 ohms. We can transform the impedance $Z_s$ into the input impedance (called $Z_b$) observed at the bottom of the SBA by applying the transmission-line formula

$$Z_b = Z_e \frac{Z_s + jZ_e \tan \beta H_s}{Z_e + jZ_e \tan \beta H_s},$$  \hfill (3)

where $\beta = 2\pi / \lambda_0$, $H_s$ is the height of the slotted patch, and $Z_e$ is the characteristic impedance of the two-wire (the feed-probe and the shorting pin) “transmission line”.

The two-wire characteristic impedance can be calculated by [16]

$$Z_e = 120 \ln \left[ \frac{d}{2r} + \sqrt{\left( \frac{d}{2r} \right)^2 - 1} \right],$$ \hfill (4)

where $r$ is the wire radius for the feed probe and short pin, $d$ is the center-to-center distance between the feed probe and the short pin. For the optimized structure, substituting $d=0.040\lambda_0$ and $r=0.012\lambda_0$ into (4), we obtain $Z_e = 120\ln3$ (ohms). The transformed impedance $Z_b$ is also plotted in the same Smith chart. It is observed that the impedance loop now is moved to the center of the Smith chart, which means a good
impedance match. Note that $Z_b$ is essentially equal to the odd-mode impedance $Z_o$ if neglecting the radiation from the “transmission line” (note that the separation between the feed probe and the shorting pin should be kept as small as possible to reduce the spurious radiation from the “transmission line”). Since the odd-mode impedance is dominant over the SBA band, $Z_b$ should be very close to the unbalance-fed input impedance $Z_{in}$, which is also plotted (in dotted line) in the Smith chart. Indeed $Z_b$ and $Z_{in}$ show good agreement.

To better understand the matching mechanism, a diagram illustration for the matching procedure is drawn in the Smith chart. For a large impedance (e.g., an impedance point A at the loop center of impedance $Z_s$), when it is normalized to $Z_c$, the point A moves to point B. Note that normalized impedance is decreased since $Z_c$ ($\cong 130$ ohms) is much larger than 50 ohms. After clockwise rotating by an angle of $2\beta H_s\cong 4\pi/3$, the point B reaches to point C. This is the normalized (to $Z_c$) impedance after applying the transmission-line formula (3). If transformed back to the 50-ohms system, the point C shifts to the center of the Smith chart, reaching to the matching point. This diagram illustration qualitatively explains why we optimize the length of the feed probe and the shorting pin to $0.32\lambda_0$, which leads to an overall antenna height of higher than $0.5\lambda_0$.

Since a slot antenna with a slot length of much less than half a wavelength always has inductive impedance, the point A should be always located at the upper part of the Smith chart. In order to move from point A to point C through point B, it is necessary to rotate by an angle of larger than $\pi$. This means that the height of the slot must be higher than a quarter wavelengths unless using a curved feed probe (to increase its length physically) or a dielectric loaded “transmission line” (to increase its length electrically).
V. Parametric Study

After understanding the operating principle of the slot-excited SBA, the parametric study is straightforward. Most SBA related publications have focused on the study of the radiation characteristics. Despite the difference in the excitation structure, the proposed SBA shares a similar mechanism for the backfire radiation with all other types of SBA. As a result, we only need to investigate the impedance performance. For the slot-excited SBA, the input impedance is affected in a larger degree by the slot and the unbalanced probe feed (including the probe and the shorting pin) than by other components such as the reflectors and the rim. Based on this consideration, we concentrate our investigation on the effects of (a) the height of the slot ($H_s$), (b) the total length of the slot ($l_s$), (c) the width of the slot ($w_s$), (d) the width of the central part of the slot ($w_c$), (e) the length of the central part of the slot ($l_c$), (f) the thickness of the feed probe and shorting pin ($r$), (g) the width of the slotted patch ($W_p$), and (h) the length of slotted patch ($L_p$). We did not include the effects of the distance ($d$) between the feed probe and the shorting pin since the low-cross-polarization pattern requires the distance be as short as possible. Depending on the mechanical process in practical fabrication, at least a 1-2 mm gap (1.6 mm in our fabrication) may be required between the feed probe and the shorting pin. A slight variation of all above parameters has little effect on the radiation performance. In the parametric study, each time only one parameter varies near its optimized value while all other parameters are fixed at their optimized values.

The simulation results for the input impedance are plotted on a Smith chart for each geometric parameter, as shown in Fig. 11. From Fig. 11(a) we can see that the loop of the impedance loci move up as $H_s$ increases. This is due to the extension of the “transmission line”, which makes the impedance loop over rotate. Fig. 11(b) shows a
slight move-up tendency as $l_s$ increases. This can be understood by checking up Fig. 10. An increase in $l_s$ causes the point A in Fig. 10 to move down due to the decreased inductive component of the input impedance. Following the impedance matching procedure indicated in Fig. 10, it is easy to find that the impedance loop will over rotate. It is also noted that the size of the loop increases with $l_s$. This means we can not make the slot too long. From our experience, $l_s$ should be less than 0.35$\lambda_0$.

A similar explanation applies to Figs. 11(c) and (d) where the impedance loop also squints up as $w_s$ widens or $w_c$ becomes narrower. Fig. 11 (e) shows that the impedance loop is not sensitive to the length of the central part of the slot ($l_c$). This is reasonable because a variation of $l_c$ will not change the perimeter (always equal to $2l_s+4w_s-2w_c$) of the slot, thus, not causing a significant change in the trace along which the current flows. Note that a certain length of $l_c$ is necessary for maintaining the form of the H-shaped slot. Otherwise the H-shaped slot deforms to a rectangular slot and its perimeter becomes $2l_s+2w_s$ or $2l_s+2w_c$, which results in a considerable difference in the current flow. As a result, the impedance performance will be changed. This can be observed from Fig. 11(e) where the cases of $l_c=0$ and 32 mm correspond to a rectangular slot with a slot width of 4 mm and 2.4 mm, respectively. It can be seen that the impedance loop obviously shifts away from the center of the Smith chart. Both simulation and experiment have demonstrated that it is difficult to achieve a wideband performance with a rectangular slot.

The effect of the thickness of the feed probe (and shorting pin) is demonstrated in Fig. 11(f). We can see that as the feed probe becomes thinner the input resistance tends to increase. The reason is explained as follows: As $r$ decreases, the characteristic impedance $Z_c$ of the “transmission line” increases and the normalized impedance decreases. As a result, both points B and C (see Fig. 10) move closer to the center of
the Smith chart. Note that moving point B toward the Smith-chart center means a decreased impedance while the same movement tendency for the point C means an increased normalized resistance. Eventually the input resistance increases since it equals the increased characteristic impedance $Z_c$ multiplied by the increased normalized resistance.

Figs. 11(g) and (h) reflect the effect of the size of the patch which supports the slot. We observe that as the patch width ($W_p$) increases the impedance loop moves down and the frequency shifts down. This may be due to the increase in capacitance as the patch becomes larger. The effect of the patch length ($L_p$) is not significant but the size of the impedance loop shrinks as the length increases probably due to the enhanced coupling between the patch and the sub-reflector. Therefore an increase in the patch length may be helpful in lowering the VSWR level.

**VI. Conclusion**

A novel excitation configuration for the short backfire antenna (SBA) is developed. The excitation structure consists of an H-shaped slot and a simple probe feed. The slot-excited SBA achieves an impedance bandwidth of 20% for VSWR<2. Over this bandwidth, the antenna has a gain ranging from 13.5 to 15.5 dBi, a backside level of less than -25 dB, and sidelobes lower than -20 dB. Simulation and experimental results show good agreement. The operating principle is investigated based on the analysis of mode decomposition. The mechanism for impedance matching is analyzed to better understand the features of the antenna. The parametric study demonstrates the effects of different geometric parameters on the impedance performance, which may be useful in practical engineering design.
Acknowledgement

The authors wish to acknowledge the support of Georgia Electronic Design Center (GEDC), the NSF CAREER Award ECS-9984761, the NSF Grant ECS-0313951 and the NSF Packaging Research Center.

References


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